

Wentworth & Wellesley Ltd.



Individual Account Application

Wentworth & Wellesley Ltd
Level 19, Monarch Office Towers
Sheikh Zayed Road One, P.O. Box 333840
Dubai - U.A.E

Wentworth & Wellesley Ltd.



Individual Account Application

W&W Ltd. is compliant with the regulatory standards laid down by the Polyreg federal department of finance and therefore must follow precise due diligence procedures. This is reflected by the following steps that must be taken before trading can commence.

Thank you to complete all fields of the account opening documentation with an ink pen only.

We ask that you take the time and use this page to help prevent any kind of delays throughout the required procedures of opening your new Wentworth & Wellesley FOREX/METALS Trading Account such as missing information and/or paperwork. Thank you.



STEP 1

- » Please write in the correct date and sign pages
- » Please initial

STEP 2

- » Please provide your Banking Details so that W&W may be able to have on file for any withdrawal requests from your account in the future. This will quicken the process of the Bank Wire Transfer.

Due to Anti Money Laundering Laws W&W cannot send any funds from your trading account back to any other Third person or Corporation. Your Trading Account will have (JTWROS) Joint Tenants with Rights of Survivorship.

STEP 3

- » The Power of Attorney must be signed and dated which provides W&W and/or it's Traders to be able to buy/sell on your behalf along with being able to meet any requirements that you have made to any third party (i.e.) commissions , monthly performance fees.

STEP 4

- » Once you have completed these steps you may fax all of the documents that have been signed and dated. Once W&W's Compliance Department has received them you will be issued an account number. You may then be able to fund your trading account via Bank Wire Transfer. The "**Banking Details**" page of this application can be brought to any Banker/Teller so that they have all the necessary details to transfer funds for your trading account.

Wentworth & Wellesley Ltd
Level 19, Monarch Office Towers
Sheikh Zayed Road One, P.O. Box 333840
Dubai - U.A.E

Wentworth & Wellesley Ltd.



Individual Account Application

Personal Details

(Please complete all fields)

Mr. Ms.

First Name _____ Family Name _____ Marital Status _____

Date of Birth ____/____/19____ Nationality _____ Passport ID Number or Drivers License number _____

Home Address _____ City _____ State/Country _____

Zip/Postal Code _____ Home Telephone number _____ Mobile number _____

Business Telephone number _____ Fax number _____ E-Mail _____

Security Questions (these questions and answers, meant for your security, will serve to assertively identify you when communicating sensitive information (ex. password over the phone))

Mother's Maiden Name _____ : Father's city of birth _____ :

First name of spouse _____ : Number of children _____ :

Account Currency Denomination: USD EUR CHF JPY CAD AUD NZD (Any funds will be converted into USD currency)

I declare that the information contained in this Account Opening Documentation is true and accurate and certify that the signature(s) is/are genuine and that any photocopies, including documents forwarded are identical with the corresponding originals. I, the contracting partner, am obligated to inform W&W Wentworth & Wellesley Ltd. immediately in case of any changes. I acknowledge that **cash** withdrawals cannot be executed via this account.

Date : ____/____/20____ ↩

Signature : _____ ↩

To be completed by W&W:	Signature and stamp of W&W:
Client Account Number : _____	

Wentworth & Wellesley Ltd.



Individual Account Application

Client Profile

In order to be fully compliant with Regulatory guidelines, we request that you kindly complete the following with the greatest accuracy (any information and document you provide us is for internal use only and will be treated with absolute confidentiality).

Details of Professional activity:

Company name and address _____

Website: _____ Industry _____ Title/Activity _____ Self Employed: Yes No

Do you exercise any Political Involvement? Yes No If yes, please describe _____

The following information serves W&W to determine your speculative capital:

Average net annual Income: up to \$50,000 \$50,000 to \$100,000 \$100,000 to \$150,000 over \$150,000

Average net assets: up to \$50,000 \$50,000 to \$100,000 \$100,000 to \$250,000 over \$250,000

Origin of the Investment Amount: Savings
(you may select more than one answer) Earned income/Pension
 Inheritance
 Financial Market earnings
 Other; please describe _____

The contracting partner is obligated to inform W&W Ltd. immediately in case of any changes. The contracting partner certifies on the honor that the invested funds have not been generated by any criminal activity.

Date _____ / _____ / 20 _____ ↩

Signature: _____ ↩

Wentworth & Wellesley Ltd.



Individual Account Application

General Risk Disclosure

There is a risk of loss in investing in spot foreign exchange and spot Gold or Silver. You shall carefully consider whether such investments are suitable for you. You shall be aware of the following points:

1. Stop Loss orders that are used to manage your account and limit losses to a desired amount should the market actually move against your position, you may in a relatively short amount of time sustain a loss if your stop-loss order is executed.
2. Certain market conditions can make volatility in the markets very high causing slippage.
3. A spread position may be as risky as a simple long or short position.
4. The high degree of leverage obtainable in Spot FX and Spot Metals requires small amounts of good faith funds in your trading account therefore it can work for you as well as against you. Through the use of leverage your account can generate large gains as well as losses if a "Stop Loss" order is not on transaction.
5. A Bank or Broker through W&W Wentworth & Wellesley Ltd. deals on your behalf, or W&W Wentworth & Wellesley Ltd. itself may also be a dealer trading for its own account in the same market as you, In which case its own account involvement could be contrary to your interests.
6. The Client is hereby advised that the transactions undertaken through the dealing services of &W Wentworth & Wellesley Ltd. may be of a speculative nature.
7. The client's attention is expressly drawn to the fact that the nature of the transactions executed on his/her behalf/instruction, by &W Wentworth & Wellesley Ltd. may be non-readily realizable in the rare case of a currency/metal traded so irregularly or infrequently that it cannot be certain that a price will be quoted at all times or that it may be difficult to effect transactions at a price which may be quoted owing to an absence of a counter-party.
8. The Foreign Exchange you are trading is not conducted on an exchange rather the price of all currencies/metals are quoted via Bank to Bank and done from computerized trading between the two. &W Wentworth & Wellesley Ltd. establishes the price at which it offers to trade with you. Additionally since W&W Wentworth & Wellesley Ltd. may act as the buyer or seller in the transaction, you should carefully evaluate any trade you enter into.

This brief statement cannot disclose all risks of investing in the Foreign Exchange or Metals. You shall carefully consider such an investment before you commit any funds for Spot foreign exchange dealing.

I have read, understood and accepted the General Risk Disclosure Statement as set out above.

Date _____ / _____ / 20_____



Signature: _____



Wentworth & Wellesley Ltd.



Individual Account Application

CUSTOMER BANK ACCOUNT COORDINATES

(Mandatory Information required for partial or total refund of customer funds)

Bank Name _____

Bank address (including country) _____

Account in the Name of: (Must be same as customer) _____

Account number or IBAN _____

The customer authorizes W&W Wentworth & Wellesley Ltd to close out any open positions relative to his account should the customer desire a partial or total refund of any funds requested.

Specimen of Account Holder's Signature: _____ ↩

Please provide us the amount of your first wire transfer in order for us to track faster:

USD EUR CHF JPY CAD AUD NZD Amount \$ _____

Account Type: MINI STANDARD INSTITUTIONAL

Where did you hear about our firm? Existing Customer Print/Ad Friend/Referral Radio/Media

Wentworth & Wellesley Ltd.



Individual Account Application

BANK WIRE INSTRUCTIONS TO FUND YOUR TRADING ACCOUNT

USD EUR GBP AED CAD AUD NZD Amount \$ _____

*If no currency is indicated, the transfer will be automatically executed in USD

Beneficiary Account Name: Wentworth & Wellesley Ltd.
Level 41 Monarch Office Towers
Sheikh Zayed Road One, 333840
Dubai, U.A.E.
Phone +971-4-705-0031 Fax +971-4-705-0032

Bank Location: Barclays Bank Plc, UAE Branch
Shop. 2, Barclays Service centre, Bur Dubai Bank street

Weekend: Friday & Saturday
Registered Office in England : Registered No. 1026167
Registered Office : 1 Churchill Place London England E145HP

Account AC/IBAN: AED 1620506
 USD 1620511
 GBP 1620528
 EUR 1620533

Swift Code Address: BARCAEADXXX (VERY IMPORTANT)

Reference (Client's Name): _____
First Middle Last

Wentworth & Wellesley Ltd.



Individual Account Application
EXAMPLE OF SILVER TRANSACTIONS WORKSHEET

INVESTMENT AMOUNT FUNDED \$ _____

AMOUNT SECURED SILVER _____ OZ's. @TODAY' PRICE \$ _____

VALUE OF SILVER SECURED = \$ _____ AMOUNT FUNDED \$ _____

EXITING ON PROFITABLE MOVE

SILVER AMOUNT OZ. BOUGHT @ _____ SAME AMOUNT OZ. SOLD @ _____

DOLLARS OF PROFIT \$ _____ x AMOUNT OF SILVER OZ'S. =\$ _____

EXITING ON A STOP ORDER

AMOUNT OZ. BOUGHT @ ENTRY PRICE \$ _____ SAME AMOUNT OZ. SOLD @\$ _____

DOLLARS OF PROFIT/LOSS x AMOUNT OF SILVER = \$ _____

EXAMPLE OF OUR PERFORMANCE FEE BASED COMMISSION MODEL

W&W METALS RECIEVES A 20% OF PROFITS ONLY FROM MONTH TO MONTH. CUSTOMER ACCOUNT MUST BE HIGHER THAN PREVIOUS MONTH TO EARN ADDITIONAL 20%.

Always deduct 20% from your profits

Wentworth & Wellesley Ltd.



Individual Account Application

BLANK SHEET FOR ANY QUESTIONS YOU MAY HAVE.

Wentworth & Wellesley Ltd.



Individual Account Application LIMITED POWER OF ATTORNEY

The undersigned Account holder authorizes the Attorney to manage his account through the purchase and sale of currency on the OTC foreign exchange market on a margined basis. The undersigned hereby agree to indemnify and hold Wentworth & Wellesley Ltd, its affiliates, employees and agents harmless from and free against any and all liabilities, losses, damages, costs and expenses including attorney's fees arising there from.

Account holder details: Attorney (money manager) details: _____

Name: _____ **Name:** **Wentworth & Wellesley Ltd.**

Address: _____ **Address:** **Level n1 Monarch Office Towers Sheikh Zayed Road One 333840 Dubai, U.A.E.**

1. Wentworth & Wellesley Ltd. (W&W) is authorized to follow the instructions of the aforesaid Attorney in every respect concerning the undersigned Account holder's account with W&W, except that said Attorney is not authorized to withdraw funds either in the name of the Account holder, introducing broker or otherwise. The Attorney shall be legally authorized to represent the Account holder regarding any and all present and future dealings with W&W in particular with respect to all assets deposited in the Account holder's account(s) with W&W, and to give orders to W&W to buy and sell spot and forward foreign exchange for the account(s) of the Account holder. The Account holder releases W&W from any responsibility whatsoever for all acts or omissions on the part of the Attorney.
2. The Attorney shall be authorized to accept, check and approve statements of account and other correspondence intended for the Account holder. Additionally the Account holder authorizes the attorney to substitute himself by appointing a third party to act on his behalf. The attorney must present W&W with a power of attorney appointing the third party.
3. The attorney represents that he has all of the applicable required government approvals, licenses and permits in order to buy and sell spot and forward foreign exchange for the account(s) of the Account holder in his country.
4. This Power of Attorney shall remain valid towards W&W until revoked in writing by the Account holder. This Power of Attorney shall not expire upon death, bankruptcy or the loss of legal capacity of the Account holder or the Attorney.
5. W&W will provide the Account holder with a confirmation of every trade made on his account(s) and a profit and loss statement showing the financial results of each transaction. In lieu of sending a trade confirmation and equity run via postal mail, W&W will provide the Account holder with access to his account via the internet using an online login. Since the Account holder has appointed an attorney, he will be unable to trade on his own account as long as the power of attorney remains in force.
6. The applicable law and jurisdiction shall be governed in the courts of Dubai, U.A.E..

Optional profit sharing agreement:

The undersigned Account holder hereby authorizes to deduct his account(s) and pay the attorney the following fees:

Performance Fee of 20% (twenty percent) of monthly profits from Client's account on the 1st day of each month.

- a) Should a loss exist from preceding months, it must be recovered in full before any additional profit share amount is debited from the Account holder's account(s) at Wentworth & Wellesley Ltd and paid to the agent.
- b) The attorney shall be responsible for calculating the above stipulated fees to be deducted on a monthly basis and will instruct Wentworth & Wellesley Ltd directly to debit the Account holder's account in accordance with this agreement. Wentworth & Wellesley Ltd shall not be liable for any miscalculation on the said fees.

Account holder: Attorney (money manager) signature: _____ **Date** ____/____/20____

Client (s) Signature: _____  **Date** ____/____/20____ 